

SEC Thailand's Public Hearing on revision to rules on acceptance of trading orders of capital market products from home (Work From Home) under new normal for securities companies and derivatives intermediaries.

The SEC is seeking public comments on the proposed revision to the rules on acceptance of trading orders of capital market products from home under new normal for securities companies and derivatives intermediaries to reduce the burden, facilitate and increasing flexibility in doing business for business operators under Regulatory Guillotine scheme.

The SEC proposed to revise regulation to be more principle based to enable business operators to accept trading orders of capital market products from home under new normal when providing an adequate and appropriate operational system. This includes supervising the operations in accordance with the risks and the nature of business. In particular, the confidentiality of the client's personal trading information and cyber security. The most important thing is to ensure that investors will receive the same level of service and protection as the employees of the business operator performing their duties in the office.

For further details of the public hearing, please visit www.sec.or.th/hearing. For electronic comments, please send an email to melina@sec.or.th or pennapa@sec.or.th. For paper comments, please send to: The Intermediaries Supervision Department, the Securities and Exchange Commission, Thailand 333/3 Vibhavadi-Rangsit Road, Chomphon, Chatuchak Bangkok 10900, Thailand.