



Notification of the Office of the Securities and Exchange Commission
Re: Policy on the Non-Acceptance of Gifts and Gratuities in the Performance
of Duties 2026 (No-Gift Policy)

The Office of the Securities and Exchange Commission (SEC Office) is a government agency entrusted with the duty to supervise and develop the Thai capital market to ensure efficiency, transparency and reliability. The SEC Office operates based on the principles of good governance and the SEC Core Values - Openness, Insight, Collaboration, and Integrity - and complies with the Code of Ethical Conduct in line with international standards. The SEC Office serves as a role model for capital market participants, encouraging the entities under its supervision to uphold good governance, maintain standards and ethics, and fulfill their social responsibility accordingly.

The SEC Office hereby declares its intent that all executives and employees will not accept any gifts or gratuities in the performance of their duties that could lead to corruption or misconduct. This intent ensures that employees at all levels perform their duties with transparency, uphold good governance, and take a firm stand against corruption in a concrete manner in accordance with the SEC Anti-Corruption Policy, which specifies that *“The SEC is a government agency where all personnel do not accept any gifts or gratuities in the performance of their duties.”*

Therefore, this Notification is made for acknowledgment and strict compliance by all.

Notified on 22 April 2026.

A handwritten signature in blue ink, appearing to read 'Pornanong Budsaratagoon'.

(Pornanong Budsaratagoon)

Secretary-General

Office of the Securities and Exchange Commission