

- New appointment (1)
- Revision of information (1)

Acceptance of Information Submission and Appointment of the Operating Officer

Date:(2).....

To: Secretary-General
Office of the Securities and Exchange Commission

I,(3).....[COMPANY NAME]....., Legal Entity No:(4).....,
Address:(5).....,
Tel:(6)....., Facimile:(7)....., hereby accept to proceed
in the following manners:

1. I intend to submit information to the Office of the Securities and Exchange Commission (“SEC Office”) in the electronic form and agree to abide by the conditions hereunder:

1.1 I fully understand and acknowledge the *SEC Office Rules on Electronic Transmission of Information B.E. 2557 (2014)* (“Rules”) and the *Manual for Electronic Transmission of Information* and other related manuals (“Manuals”) and agree to abide by the Rules and the Manuals in all aspects.

In the event that the SEC Office revises the Rules or the Manuals and notifies me in advance as deemed appropriate before such revision becomes effective, I agree to abide by the revision of the Rules or the Manuals as well;

1.2 The electronic information submitted in compliance with the Rules and affixed with the electronic signature of the operating officer designated to submit electronic information shall be deemed the information submitted by me, and I hold myself accountable for the completeness and accuracy of the information;

1.3 I agree to abide by the obligation that the information deemed to have been submitted by myself under 2 may be used as evidence in legal proceedings, and I shall not deny the existence of such information for the reason that it is presented in the electronic form.

2. Pursuant to the provisions under Clause 7 of the *SEC Office Rules on Electronic Transmission of Information B.E. 2557 (2014)*, I hereby certify that the following person is the operating officer who is empowered to submit the following information in the electronic form to the SEC Office:

Securities offering (Please check the applicable box);

- Information on initial public offering of debt securities (IPOS)
- Information on issuance and offer for sale of derivatives warrants

Other reports or information (Please specify)

.....
(8).....

Full Name	(9)
Identification No.	(10)
Job position	(11)
Email address	(12)
Telephone	(13)
Sample of signature	(14)
Sample of signature	(15)

The person above whom I have appointed as the operating officer is:

- Director
- Employee
- Operating person holding the position of(16).....
 at.....(17).....[Company Name]....., which is my back office service

provider.

The electronic information within the scope of this Form, if submitted and affixed with the electronic signature of the person whose name appears on this Form shall have binding effect equivalent to the information submitted in the printed form and affixed with the signature of the person above.

The appointment of the operating officer herein shall take effect on(18).....

- Onwards
- Until(19).....

I hereby affix my signature on this Form as evidence.

Signature*
 (.....(20).....)

Name of Coordinator for Form submission:(21).....

Tel:(22)..... Email:(23).....

* Authorized director of the legal entity or the appointed designating person shall sign and affix the corporate seal (if any).

Appendix

Type of information	Details
(1) - New appointment - Revision of Information	- Choose New Appointment in case of appointing the person for the first time; - Choose Revision of Information in case of changing the scope of power/duty of the appointed person.
(2) Date	Specify the submission date clearly and fully.
(3) Name	Specify the name of the company which is required to submit information to the SEC Office by virtue of law. Ex., ABC Securities Company.
(4) Legal Entity No.	Specify the registration number of the legal entity as provided by the Ministry of Commerce.
(5) Address	Specify the official mailing address clearly and fully.
(6) Telephone	Specify the telephone number of the company.
(7) Facimile	Specify the facimile number of the company.
(8) Other reports or information	Specify the name of the Form or type of information. List of forms and information is available at www.sec.or.th/ca . In case of changing the scope of power/duty, the latest form or information shall void the previous ones. In case of adding a new form or information, both the existing and added new forms must be specified.
(9) Full name	Specify the full name of the appointed person clearly.
(10) Identification No.	Specify the identification number of the appointed person.
(11) Job position	Specify the job position of the appointed person.

(12) Email address	Specify the official email address as provided by the company.
(13) Telephone	Specify the official telephone number as provided by the company.
(14) Sample of signature	Provide a sample of signature of the appointed person.
(15) Sample of signature	Provide a sample of signature of the appointed person, as identical to (14).
(16) Operating person	Specify the job position of the operating person.
(17) Company name	Specify the name of the back office service provider.
(18) Take effect on	Specify the effective date of the appointment.
(19) Until	Specify the expiration date of the appointment.
(20) Signature	<ul style="list-style-type: none"> - Specify the full name of the authorized director or the designating person of the legal entity in plain writing; - If required by the registration of the legal entity, the corporate seal shall be stamped onto the Form.
(21) Coordinator for information submission	Specify the full name of the coordinator.
(22) Telephone	Specify the official telephone number of the coordinator, as provided by the company.
(23) Email address	Specify the email address of the coordinator, as provided by the company.